

## Validation Fact Sheet

February 2010

- Hospitals have 45 calendar days to deliver requested medical records for validation to the CDAC. Hospitals will be sent a written notice if the CDAC has not received the records 30 days after the request was issued (which is approximately 15 days before the due date).
- Records received by the CDAC on or before the 45<sup>th</sup> day will be validated. Records received after the 45<sup>th</sup> day will not be validated. There will be no extensions.
- FY 2011 - The quarterly validation rate will be based on element matches and mismatches. Quarterly passing rate is 80%.
- FY 2011 - Validation and Confidence Interval (CI) calculation will occur as it has the past two years.
- FY2011 - Quarters 4Q08, 1Q09, 2Q09, and 3Q09 will be used for the CI calculation.
- FY2012 - Quarters 1Q10, 2Q10, and 3Q10 will be used for the CI calculation.
- FY2012 - 800 hospitals will be randomly selected for FY2012 validation in July 2010. CMS will notify selected hospitals of selection. The final process for this communication has not been determined, but will be communicated to hospitals in advance.
- Hospitals that meet ALL the following criteria in July 2010 are eligible to be one of the 800 hospitals selected:
  - Open status
  - Active pledge (Notice of Participation form) to participate in the RHQDAPU program
  - At least 100 total accepted case submissions for CY 2009 discharges
- FY2012 - Up to, but no more than, three cases per topic will be selected for each quarter's validation, so there will be a maximum of 12 randomly-selected cases for a hospital per quarter. Selected records will be stratified by measure topic. If a hospital has fewer than three cases in one topic, then that hospital will have fewer than 12 cases validated. For example, if a heart-care hospital does not have any PN cases for a quarter, but has more than three HF, more than three AMI, and more than three SCIP cases, that hospital would be required to submit nine cases for validation for that quarter.
- FY2012 - The first validation record requests from the CDAC will occur following the 8/15/10 data submission deadline.
- FY2012 - The quarterly validation rate rate will be based on measure outcome matches and mismatches. For example, heart failure cases will have four measure outcomes to compare and the denominator will be four. The Final Rule changes the annual validation passing rate from 80% to 75%.
- FY2012 - Feedback will be available to all hospitals based on aggregate information from the 800 hospitals' validated cases.
- FY2013 – At this time, CMS has not proposed validation requirements for FY 2013. Please review future CMS payment rules for any proposed updates to the CMS validation requirements.
- Critical Access Hospitals and other hospitals not eligible for RHQDAPU will be exempt from the validation process. However, their submission reports will be available (no validation reports) and their measure data will be displayed on Hospital Compare unless suppressed by the hospital.